

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450



Docket No.: 5005.1017  
Date: April 8, 2004

In re application of: **Joerg BEWERSDORF et al.**  
Serial No.: 10/037,851  
Filed: January 4, 2002  
For: **MICROSCOPE AND METHOD FOR OPERATING A MICROSCOPE**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement** in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.  
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.  
☒ No fee for additional claims is required.  
☐ A filing fee for additional claims calculated as shown below, is required:

| (Col. 1)                                      |            | (Col. 2)   |         | SMALL ENTITY |     |    | OR | LARGE ENTITY |     |
|---|------------|------------|---------|--------------|-----|----|----|--------------|-----|
| FOR:  | REMAINING  | HIGHEST    |         | RATE         | FEE |    |    | RATE         | FEE |
|   | AFTER      | PREVIOUSLY | PRESENT |              |     |    |    |              |     |
|   | AMENDMENT  | PAID FOR   | EXTRA   |              |     |    |    |              |     |
| TOTAL CLAIMS                                  | * Minus**  | =          | 0       | x \$         | 9   | \$ |    | x \$         | 18  |
| INDEP. CLAIMS                                 | * Minus*** | =          | 0       | x \$         | 43  | \$ |    | x \$         | 86  |
| [ ] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |            |            |         | + \$135      |     | \$ |    | + \$270      |     |

TOTAL: \$ OR TOTAL: \$

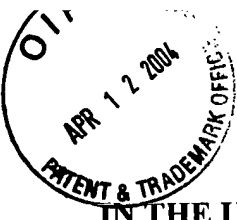
- \* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:  
[ ] Petition for extension under 37 C.F.R. 1.136  
☒ Other: **PTO-1449 and copies of cited references, European Search Report and brief English translation thereof**
- ☒ Check(s) in the amount of **\$180.00** is/are attached to cover:  
[ ] Filing fee for additional claims under 37 C.F.R. 1.16  
[ ] Petition fee for extension under 37 C.F.R. 1.136  
☒ Other: **Submission of IDS Fee under 37 C.F.R. 1.17 (p)**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.  
☒ Any patent application processing fees under 37 C.F.R. 1.17.  
☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

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I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on April 8, 2004.  
DAVIDSON, DAVIDSON & KAPPEL, LLC

BY:   
Oliver Platz



5005.1017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RE: Application of: Joerg BEWERSDORF et al.**  
**Serial No.: 10/037,851 Confirmation No.: 6733**  
**Filed: January 4, 2002**  
**For: MICROSCOPE AND METHOD FOR OPERATING A MICROSCOPE**  
**Examiner: Mark A. Robinson**  
**Art Unit: 2872**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

April 8, 2004

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the documents listed on the accompanying form PTO-1449 (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

04/14/2004 DENMAHU1 00000093 10037851

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I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on April 8, 2004.  
DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: Oliver Platz  
Oliver Platz

The references cited on the accompanying PTO-1449 form were cited in a German-language European Search Report, a copy of which, along with a brief English translation thereof, is submitted herewith.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (c) with the corresponding fee of \$180.00 for submission of an Information Disclosure Statement. If any additional fees are deemed to be due at this time, the Assistant Commissioner is authorized to charge payment of the same to Deposit Account No 50-0552.

It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all the references made of record at this time.

Respectfully Submitted,  
DAVIDSON, DAVIDSON & KAPPEL, LLC

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: 5005.1017

SERIAL NO.: 10/037,851

## LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Joerg BEWERSDORF et al.

FILING DATE: 01/04/2002

GROUP: 2872

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER |   |   |   |   |   |   | DATE          | NAME          | CLASS | SUB-<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|---|---|---|---|---|---|---------------|---------------|-------|---------------|-------------------------------|
|                      | AA | 4               | 9 | 9 | 8 | 2 | 8 | 4 | Mar. 5, 1991  | Bacus et al.  | 382   | 6             |                               |
|                      | AB | 5               | 5 | 8 | 1 | 6 | 3 | 1 | Dec. 3, 1996  | Ortyn et al.  | 382   | 128           |                               |
|                      | AC | 6               | 4 | 2 | 4 | 4 | 2 | 1 | Jul. 23, 2002 | Cremer et al. | 356   | 620           |                               |
|                      | AD |                 |   |   |   |   |   |   |               |               |       |               |                               |
|                      | AE |                 |   |   |   |   |   |   |               |               |       |               |                               |
|                      | AF |                 |   |   |   |   |   |   |               |               |       |               |                               |
|                      | AG |                 |   |   |   |   |   |   |               |               |       |               |                               |
|                      | AH |                 |   |   |   |   |   |   |               |               |       |               |                               |
|                      | AI |                 |   |   |   |   |   |   |               |               |       |               |                               |
|                      | AJ |                 |   |   |   |   |   |   |               |               |       |               |                               |
|                      | AK |                 |   |   |   |   |   |   |               |               |       |               |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER |   |   |   |   |   |   | DATE          | COUNTRY          | CLASS | SUB-<br>CLASS | TRANSLATION                                     |    |
|--|----|-----------------|---|---|---|---|---|---|---------------|------------------|-------|---------------|---|----|
|  |    |                 |   |   |   |   |   |   |               |                  |       |               | YES   | NO |
|  | AL | 9               | 8 | 2 | 8 | 5 | 9 | 2 | Jul. 2, 1998  | WO-WIPO          |       |               | corresponds to<br>US 6,424,421                  |    |
|  | AM | 1               | 1 | 6 | 2 | 4 | 5 | 0 | Dec. 12, 2001 | EP-Europe        |       |               | in English                                      |    |
|  | AN | 1               | 1 | 1 | 3 | 3 | 0 | 4 | Jul. 4, 2001  | EP-Europe        |       |               | Abstract; see also<br>European Search<br>Report |    |
|  | AO | 2               | 2 | 7 | 3 | 9 | 9 | 4 | Jul. 6, 1994  | GB-Great Britain |       |               | in English                                      |    |
|  | AP |                 |   |   |   |   |   |   |               |                  |       |               |   |    |

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | AR |  |
|  | AS |  |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.